



06/29/01

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

## CERTIFICATE OF EXPRESS MAILING

I hereby certify that this paper and the documents and/or fees referred to as attached herein are being deposited with the United States Postal Service on June 29, 2001 in an envelope as "Express Mail Post Office to Addressee" service under 37 CFR §1.10, Mailing Label Number EL896841908US, addressed to the Commissioner for Patents, Washington, DC 20231.

Diane Schwanbeck

Attorney Docket No. LAM2P258

First Named Inventor: Jun-Cheng Ko



25920

PATENT TRADEMARK OFFICE

11000 U.S. PTO  
09/895566  
06/29/01

## UTILITY PATENT APPLICATION TRANSMITTAL (37 CFR § 1.53(b))

Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

☐ Duplicate for  
fee processing

Sir: This is a request for filing a patent application under 37 CFR § 1.53(b) in the name of inventors:  
Jun-Cheng Ko and Young-Tong Tsai

For: IMPROVED SELF-ALIGNED CONTACT PROCESS IMPLEMENTING BIAS COMPENSATION  
ETCH ENDPOINT DETECTION AND METHODS FOR IMPLEMENTING THE SAME

## Application Elements:

- ☒ 30 Pages of Specification, Claims and Abstract  
☒ 08 Sheets of Drawings (Informal)  
☒ 02 Pages Combined Declaration and Power of Attorney

## Accompanying Application Parts:

- ☒ Assignment and Assignment Recordation Cover Sheet (recording fee of \$40.00 enclosed)  
☐ 37 CFR 3.73(b) Statement by Assignee  
☐ Information Disclosure Statement with Form PTO-1449  
☐ Copies of IDS Citations  
☐ Preliminary Amendment  
☒ Return Receipt Postcard  
☒ Request and Certification Under 35 U.S.C. 122(b)(2)(B)(i)  
☐ Other:

## Fee Calculation (37 CFR § 1.16)

	(Col. 1)	(Col. 2)	SMALL ENTITY		OR	LARGE ENTITY	
	NO. FILED	NO. EXTRA	RATE	FEE		RATE	FEE
BASIC FEE			\$355	\$	OR	\$710	\$710.00
TOTAL CLAIMS	20	-20 = 00	x09=	\$	OR	x18 =	\$
INDEP CLAIMS	04	-03 = 01	x40 =	\$	OR	x80 =	\$ 80.00
[ ] Multiple Dependent Claim Presented			\$135 =	\$	OR	\$270 =	\$
* If the difference in Col. 1 is less than zero, enter "0" in Col. 2.			Total	\$	OR	Total	<b>\$790.00</b>

☒ Check No. 5521 in the amount of **\$830.00** is enclosed.

☒ The Commissioner is authorized to charge any fees beyond the amount enclosed which may be required, or to credit any overpayment, to Deposit Account No. 50-0805 (Order No. LAM2P258).

Request for Small Entity Status

☐ Applicant is entitled to small entity status.


General Authorization for Petition for Extension of Time (37 CFR §1.136)

☒ Applicants hereby make and generally authorizes any Petitions for Extensions of Time as may be needed for any subsequent filings. The Commissioner is also authorized to charge any extension fees under 37 CFR §1.17 as may be needed to Deposit Account No. 50-0805 (Order No. LAM2P258).

☒ Please send correspondence to the following address:

**Albert S. Penilla**  
MARTINE & PENILLA, LLP  
710 Lakeway Drive, Suite 170  
Sunnyvale, CA 94085  
**Customer Number 25920**  
Tel (408) 749-6900  
Fax (408) 749-6901

Date: June 29, 2001

  
Albert S. Penilla, Esq.  
Registration No. 39,487

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the application of )  
 )  
 J. Ko et al. )  
 )  
 Application No. [To be assigned] )  
 )  
 Filed: June 28, 2001 )  
 )  
 For: IMPROVED SELF-ALIGNED CONTACT )  
 PROCESS IMPLEMENTING BIAS )  
 COMPENSATION ETCH ENDPOINT )  
 DETECTION AND METHODS FOR )  
 IMPLEMENTING THE SAME )  
 \_\_\_\_\_ )

Examiner: Unassigned

Art Unit: Unassigned

Docket No. LAM2P258

Date: June 29, 2001

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Signed: \_\_\_\_\_

Diane Schwanbeck

REQUEST AND CERTIFICATION UNDER 35 U.S.C. § 122(b)(2)(B)(i)

Commissioner for Patents  
Washington, D.C. 20231

Sir:

I hereby certify that the invention disclosed in the attached application **has not and will not be** the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing. I hereby request that the attached application not be published under 35 U.S.C. § 122(b).

Respectfully submitted,  
MARTINE & PENILLA, LLP



Albert S. Penilla, Esq.  
Reg. No. 39,487

710 Lakeway Drive, Suite 170  
Sunnyvale, CA 94085  
(408) 749-6900  
**Customer Number 25920**